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| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY DOCKET NO. 826.1650 | APPLICATION NO. |
| LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) | | FIRST NAMED INVENTOR Isao NAKAJIMA, et al. | |
| | | FILING DATE December 28, 2000 | GROUP ART UNIT |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|----------------------|----|--------------|---------|----------|-------|---------------|----------------|
| AB | AA | 6,185,022B1 | 2/6/01 | Harasawa | 359 | 124 | 2/23/98 |
| AB | AB | 6,219,176B1 | 4/17/01 | Terahara | 359 | 341 | 7/21/98 |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION | |
|----|----|------------------|---------|---------|-------|---------------|--|----|
| | | | | | | | YES | NO |
| AB | AG | JP-A-2000-183818 | 6/30/00 | Japan | | | English Application Document | |
| AB | AH | JP-A-11-103287 | 4/13/99 | Japan | | | Corresponding U.S. Patent 6,185,022 | |
| AB | AI | JP-A-11-212044 | 8/6/99 | Japan | | | English Application Document | |
| AB | AJ | JP-A-11-224967 | 8/17/99 | Japan | | | Corresponding to U.S. Patent 6,219,176 | |
| | AK | | | | | | | |
| | AL | | | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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| AB | AM | T. Takahashi, "Q-factor Monitoring Technology - Advanced Signal Quality Evaluation Method for Optical Communication-", Advantest Technical Report, Probo, 1997/No. 10, March 1997 (English translation of pgs. 19-22). |
| AB | AN | T. Naito, et al., "Active Gain Slope Compensation in Large Capacity, Long-Haul WDM Transmission System", 10 th Optical Amplifiers and Their Applications, OAA'99, June 9-11, 1999, Nara, Japan, Optical Society of America (Trends in Optics and Photonics TOPS Volume XXX - Optical Amplifiers and Their Applications, June 9, 1999 (FIGURE 1). |
| AB | AO | H. Kasai, et al., Supervised by S. Shimada, "SDH Transmission", OHM Publishing Company, September 20, 1993 (English translation of pgs. 37-38, "3.2 Frame Structure of SDH"). |

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| EXAMINER ABella | DATE CONSIDERED 9/23/03 |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |